

Docket No. 296739US0PCT



MAIL STOP PCT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Jean-Marc SUAUE, et al.

SERIAL NO: 10/594,520

GAU:

FILED: September 28, 2006

EXAMINER:

FOR: TRITHIOCARBONATE DERIVATIVES AND THE USE THEREOF IN THE FORM OF TRANSFER AGENTS
FOR ACRYLIC ACID CONTROLLED RADICAL POLYMERISATION

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

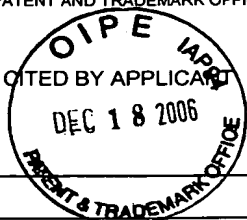
ATTY DOCKET NO.

296739US0PCT

SERIAL NO.

10/594,520

LIST OF REFERENCES CITED BY APPLICANT



APPLICANT

Jean-Marc SUAUI, et al.

FILING DATE

September 28, 2006

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2006/0111534	05/25/06	SUAUI, Jean-Marc et al. (10/522,340)			
	AB	2004/0097674	05/20/04	SUAUI, Jean-Marc et al. (10/468,398)			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	01/60792	08/23/01	WO(equivalent of US 6 596 899, US 2003/187138, US 2003/120101, US 2003/233006, US 2004/073056, US 2005/014910, US 2005/009999 & US 2005/038280)		NO
	AP	03/055919	07/10/03	WO		NO
	AQ	02/070571	09/12/02	WO(with English abstract & equivalent of US 2004/097674)		NO
	AR	217 214	01/09/85	DD		NO
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	MAYADUNNE, Roshan T. A. et al., "Living Polymers by the Use of Trithiocarbonates as Reversible Addition-Fragmentation Chain Transfer (RAFT) Agents: ABA Triblock Copolymers by Radical Polymerization in Two Steps", Macromolecules, Vol. 33, No. 2, Pages 243-245, 2000.
	AX	LAI, John T. et al., "Functional Polymers from Novel Carboxyl-Terminated Trithiocarbonates as Highly Efficient RAFT Agents", Macromolecules, Vol. 35, No. 18, Pages 6754-6756, 2002.
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Docket No.: 296739US0PCT

Serial No.: 10/594,520

Inventor: Jean-Marc SUAU, et al.

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: September 28, 2006

Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	296733US0PCT	10/594,519	09/28/06		SUAU, et al.
	264369US0PCT	10/522,340	08/12/05	US2006/0111534 A1	SUAU, et al.
	240676US0PCT	10/468,398	12/31/03	US2004/0097674 A1	SUAU, et al.
	296739US0PCT*	10/594,520	09/28/06		SUAU, et al.

Examiner

Date Considered

*Present Application; listed for information
NFO/sbs/kch